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LETTER TO THE EDITOR

A mean-field theory of the elastic granular disc model in two dimensions

Jian Wang

Department of Chemistry, Brandeis University, Waltham, MA 02254, USA

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Abstract. We have constructed a Stephen-type mean-field theory for the elastic granular disc model in two dimensions. We have calculated the mean-field value of two crossover exponents ϕ_1 and ϕ_2 , which describe the ways in which the two-point elastic susceptibility and the angle-angle correlation function scale with the distance, respectively. We found that $\phi_1 = 2$ and $\phi_2 = 1$. The possible relation of ϕ_1 and ϕ_2 to the bulk modulus exponent f is discussed.

Recently much attention has been directed towards randomly diluted elastic networks. Most studies have focused on the following aspects: (1) computer simulation [1-5]; (2) scaling analysis [6, 7]. Up to now, a field theory or even a mean-field theory to understand the critical behaviour of an elastic network has not been proposed. In this letter, we give a Stephen-type mean-field [8] theory for a two-dimensional granular disc model introduced by Feng [9]. We introduce two crossover exponents ϕ_1 and ϕ_2 describing the ways in which the two-point elastic susceptibility and splay elastic susceptibility (or angle-angle correlation function) scale with the distance, respectively. We show that as we turn on the angular part in the granular disc Hamiltonian, we have a crossover from $\phi_1 = 1$ to $\phi_1 = 2$. Using a node link picture [10], one can get a scaling relation relating ϕ_1 to the bulk modulus exponent f as

$$f = (d-2)\nu + \phi_1.$$
 (1)

Our result gives $f_{MF} = 4$ which agrees with the scaling analysis [6]. A possible relation between ϕ_2 and ϕ_1 would be $\phi_1 = \phi_2 + 2\nu$ from dimensional analysis. Hence we may have

$$f = d\nu + \phi_2. \tag{2}$$

The Hamiltonian of the granular disc model [9] can be written as follows:

$$H = \sum_{\langle ij \rangle} H_{ij} \varepsilon_{ij} \tag{3}$$

where ε_{ij} is an indicator variable: $\varepsilon_{ij} = 1$ if bond b is occupied and $\varepsilon_{ij} = 0$ otherwise, and $\langle ij \rangle$ indicates a sum over pairs of nearest-neighbour sites, where

$$H_{ij} = \frac{1}{2}\alpha_1 |(\mathbf{u}_i - \mathbf{u}_j) \cdot \hat{R}_{ij}|^2 + \frac{1}{2}\beta_1 |((\mathbf{u}_i - \mathbf{u}_j) \times \hat{R}_{ij}) \times \hat{R}_{ij} + R(\theta_i + \theta_j) \hat{z} \times \hat{R}_{ij}|^2 + \frac{1}{2}\gamma_1 R^2 |\theta_i - \theta_j|^2$$
(4)

where u_i is the displacement associated with site *i*, \hat{R}_{ij} is a unit vector along sites *i* and *j*, θ_i is the angle of the disc at site *i* and \hat{z} is a unit vector perpendicular to the two-dimensional system. Here α_1 , β_1 and γ_1 are elastic constants; *R* is the radius of

the disc. Note that this model is rotational invariant only when R = a where a is the lattice spacing[†]. When R = 0 and $\alpha_1 = \beta_1$ one has the isotropic force model (or Born model). One sees that the Born model consists of a CF term with elastic constant α_1 and an external field term with coefficient β_1 . We expect that turning on R or allowing the angular degrees of freedom θ_i will drive the system to a new universality class different from that of the random resistor network (RRN).

The field theory using Stephen's formalism [8] has been discussed in detail by Harris and Lubensky in [11]. The effective Hamiltonian can be calculated as

$$e^{-H_{eff}} = \prod_{\langle ij \rangle} \left[1 - p + p \exp\left(-\sum_{\alpha} H_{ij}(\alpha)\right) \right]$$
(5)

or

$$-H_{\text{eff}} = \sum_{\langle ij \rangle} \ln \left[1 - p + p \exp \left(-\sum_{\alpha} H_{ij}(\alpha) \right) \right]$$
(6)

where p is the concentration. Here we have introduced n replicas to facilitate the random average and α represents the replica index. Now we decompose (6) into its Fourier components $\exp(i\mathbf{K}_x \cdot \mathbf{u}_{ix})$, $\exp(i\mathbf{K}_y \cdot \mathbf{u}_{iy})$ and $\exp(i\mathbf{P} \cdot \boldsymbol{\theta}_i)$, where \mathbf{K}_x , \mathbf{K}_y and \mathbf{P} are *n*-component vectors. We obtained (up to a constant):

$$H_{\text{eff}} = -\sum_{\langle ij \rangle} \sum_{K} B_{K} \exp[iK_{x} \cdot (u_{ix} - u_{jx})] \exp[iK_{y} \cdot (u_{iy} - u_{jy})] \exp[-iRR_{ijy}K_{x} \cdot (\theta_{i} + \theta_{j})] \times \exp[iRR_{ijx}K_{y} \cdot (\theta_{i} + \theta_{j})] \exp[iP \cdot (\theta_{i} - \theta_{j})]$$
(7)

where

$$B_{K} = \sum_{l=1}^{\infty} \frac{(-1)^{l+1}}{l} v^{l} \exp\left(-\frac{P^{2}}{2l\gamma_{1}R^{2}}\right) \exp\left(-\frac{K_{x}^{2} + K_{y}^{2}}{2l\alpha_{1}}\right)$$
(8)

where we have set $\alpha_1 = \beta_1$ for simplicity. Here $K_{\alpha} \equiv K_{x\alpha}\hat{e}_1 + K_{y\alpha}\hat{e}_2 + P_{\alpha}\hat{e}_3$ and v = p/(1-p). Now we define the following quantities:

$$\Phi_{0}(\mathbf{K}) = \exp(i\mathbf{K}_{x} \cdot \mathbf{u}_{ix}) \exp(i\mathbf{K}_{y} \cdot \mathbf{u}_{iy}) \exp(i\mathbf{P} \cdot \boldsymbol{\theta}_{i})$$

$$\Phi_{i1}^{(x)}(\mathbf{K}) = \Phi_{0}(\mathbf{K}) \cos(\mathbf{R}\mathbf{K}_{y} \cdot \boldsymbol{\theta}_{i})$$

$$\Phi_{i2}^{(x)}(\mathbf{K}) = -\Phi_{0}(\mathbf{K}) \sin(\mathbf{R}\mathbf{K}_{y} \cdot \boldsymbol{\theta}_{i})$$

$$\Phi_{i1}^{(y)}(\mathbf{K}) = \Phi_{0}(\mathbf{K}) \cos(\mathbf{R}\mathbf{K}_{x} \cdot \boldsymbol{\theta}_{i})$$

$$\Phi_{i2}^{(y)}(\mathbf{K}) = \Phi_{0}(\mathbf{K}) \sin(\mathbf{R}\mathbf{K}_{x} \cdot \boldsymbol{\theta}_{i}).$$
(9)

So (7) can be written as

$$H_{\text{eff}} = -\frac{1}{2} \sum_{l=x,y} \sum_{ij} \sum_{\mathbf{K}} B_{\mathbf{K}} \gamma_{ij}^{(l)} [\Phi_{i1}^{(l)}(\mathbf{K}) \Phi_{j1}^{(l)}(-\mathbf{K}) + \Phi_{i2}^{(l)}(\mathbf{K}) \Phi_{j2}^{(l)}(-\mathbf{K})]$$
(10)

where $\gamma_{ij}^{(x)}$ ($\gamma_{ij}^{(y)}$) is the nearest-neighbour indicator along the x (y) direction, i.e. it is one if sites *i* and *j* are nearest neighbours along the x (y) direction and zero otherwise. Since there is no dilution for the site, we can add the kinetic energy H' of the disc system to H_{eff} , where

$$H'=-\frac{1}{2}\sum_{i\alpha}\left(m\omega_{1}^{2}u_{i\alpha}^{2}+m\omega_{1}^{2}u_{i\gamma\alpha}^{2}+\frac{1}{2}mR^{2}\omega_{2}^{2}\theta_{i\alpha}^{2}\right).$$

† As pointed out by Lubensky.

After making a Hubbard-Stratanovich transformation, we obtain

$$e^{-H_{eff}} = \int (D\Psi) \exp\left(-\frac{1}{2} \sum_{l=x,y} \sum_{ij} \sum_{\mathbf{K}} B_{\mathbf{K}}^{-1} (\gamma_{ij}^{(l)})^{-1} (\Psi_{i1}^{(l)}(\mathbf{K}) \Psi_{j1}^{(l)}(-\mathbf{K}) + \Psi_{i2}^{(l)}(\mathbf{K}) \Psi_{j2}^{(l)}(-\mathbf{K}))\right) e^{-H_{1}-H'}$$
(11)

where

$$H_{1} = \sum_{l=x,y} \sum_{i} \sum_{K} \left[\Psi_{i1}^{(l)}(K) \Phi_{i1}^{(l)}(-K) + \Psi_{i2}^{(l)}(K) \Phi_{i2}^{(l)}(-K) \right]$$
(12)

where Ψ is a field variable.

The mean-field equation can be obtained by evaluating the Ψ integral in $\int Du D\theta e^{-H_{eff}}$ by steepest descents. Differentiating the exponent with respect to Ψ gives the mean-field equation

$$B_{K}^{-1}(\gamma_{ij}^{(x)})^{-1}\Psi_{i1}^{(x)}(K) = \langle \Phi_{j1}^{(x)}(K) \rangle$$
(13)

$$B_{K}^{-1}(\gamma_{ij}^{(y)})^{-1}\Psi_{i1}^{(y)}(K) = \langle \Phi_{j1}^{(y)}(K) \rangle$$
(14)

where $\langle A \rangle$ denotes $\int Du \ D\theta A \ e^{-H_1 - H'}/Z_1$ and $Z_1 = \int Du \ D\theta \ e^{-H_1 - H'}$. Here we have used the summation convention. We now take the Fourier transformation of (13):

$$\Psi_{i1}^{(x)}(W) = \int DP DK_x DK_y e^{iW_x K_x} e^{iW_y K_y} e^{iW_\theta P} \Psi_{i1}^{(x)}(K)$$
(15)

where $\boldsymbol{W} = W_x \hat{e}_1 + W_y \hat{e}_2 + W_\theta \hat{e}_3$. We obtain $B_{\boldsymbol{W}}^{-1}(\gamma_{ii}^{(x)})^{-1} \Psi_{i1}^{(x)}(\boldsymbol{W})$

$$= \frac{1}{2} \langle \delta(W_{\theta} - \theta_{j}) \delta(W_{x} - u_{jx}) \delta(W_{y} - u_{jy} - R\theta_{j}) \rangle + \frac{1}{2} \langle \delta(W_{\theta} - \theta_{j}) \delta(W_{x} - u_{jx}) \delta(W_{y} - u_{jy} + R\theta_{j}) \rangle = \frac{1}{2Z_{1}} \exp \left[-\frac{m}{2} \omega_{1}^{2} (W_{x}^{2} + W_{y}^{2}) - \frac{m}{2} \omega_{2}^{2} \left(\frac{3}{2} R^{2} W_{\theta}^{2} - 2 W_{y} R W_{\theta} \right) \right. + \frac{1}{2} \Psi_{j1}^{(x)} (W) + \frac{1}{2} \Psi_{j1}^{(x)} (W - R W_{\theta} \hat{e}_{2}) + \frac{1}{2i} \Psi_{j2}^{(x)} (W) - \frac{1}{2i} \Psi_{j2}^{(x)} (W - 2R W_{\theta} \hat{e}_{2}) + \frac{1}{2i} \Psi_{j1}^{(y)} [W - R W_{\theta} (\hat{e}_{1} + \hat{e}_{2})] + \frac{1}{2} \Psi_{j1}^{(y)} [W + R W_{\theta} (\hat{e}_{1} - \hat{e}_{2})] + \frac{1}{2i} \Psi_{j2}^{(y)} [W - R W_{\theta} (\hat{e}_{1} + \hat{e}_{2})] - \frac{1}{2i} \Psi_{j2}^{(y)} [W - R W_{\theta} (\hat{e}_{1} - \hat{e}_{2})] \right] + tarm with R conlocad by R
$$+ tarm with R conlocad by R$$$$

+ term with R replaced by -R (16)

where

$$B_{W} = B_{0} + \frac{D_{3}^{2}}{4\gamma_{2}R^{2}} + \frac{D_{1}^{2} + D_{2}^{2}}{4\alpha_{2}} + \dots$$
(17)

and $B_0 = \ln(1+v)$, $D_1^2 = \partial^2/\partial W_x^2$, $D_2^2 = \partial^2/\partial W_y^2$ and $D_3^2 = \partial^2/\partial W_\theta^2$. We now expand (16) with respect to RW_θ and keep the quadratic term of W_θ . We obtain $B_w^{-1}(\gamma_{ii}^{(x)})^{-1}\Psi_{i1}^{(x)}$

$$= \frac{1}{2Z_1} \exp\left(\Psi_{i1}^{(x)} + \Psi_{i1}^{(y)} - \frac{m}{2}\omega_1^2 W^2 - \frac{3m}{4}\omega_2^2 R^2 W_\theta^2\right)$$
$$\times (2 + 2R^2 W_\theta^2 D_2^2 \Psi_{i1}^{(x)} + R^2 W_\theta^2 D^2 \Psi_{i1}^{(y)})$$
(18)

where we have written $D^2 = D_1^2 + D_2^2$ and $W^2 = W_x^2 + W_y^2$. Similarly, from (14), we have

$$B_{W}^{-1}(\gamma_{ij}^{(y)})^{-1}\Psi_{j1}^{(y)} = \frac{1}{2Z_{1}} \exp\left(\Psi_{i1}^{(x)} + \Psi_{i1}^{(y)} - \frac{m}{2}\omega_{1}^{2}W^{2} - \frac{3m}{4}\omega_{2}^{2}R^{2}W_{\theta}^{2}\right) \times (2 + 2R^{2}W_{\theta}^{2}D_{1}^{2}\Psi_{i1}^{(y)} + R^{2}W_{\theta}^{2}D^{2}\Psi_{i1}^{(x)}).$$
(19)

Note that $\Psi_{i1}^{(x)}$ and $\Psi_{i1}^{(y)}$ are symmetric in (18) and (19); we assume that they have the same scaling form in the critical region, i.e. $\Psi_{i1}^{(x)} \sim \Psi_{i1}^{(y)} \sim S_0$. From (18) and (19), we obtain

$$S = \frac{1}{Z_1} (1 + 2B_W R^2 W_\theta^2 D^2 S) \exp\left(4B_W S - \frac{m}{2} \omega_1^2 W^2 - \frac{3m}{2} \omega_2^2 R^2 W_\theta^2\right)$$
(20)

where $S = S_0/2B_W$ and we have used the fact that $(\gamma_{ij}^{(x)})^{-1} = (\gamma_{ij}^{(y)})^{-1} = \delta_{ij}/2$.

As discussed in detail in [8], one has $Z_1 = e^{4B_0}$ to ensure the percolation threshold which is determined by $4B_0 = 1$. Now we look for a solution of the form

$$S = 1 - r_0^{\beta} F\left(\alpha_2 W^2 r_0^{\phi_1}, \gamma_2 W_{\theta}^2 R^2 r_0^{\phi_2}, \frac{\omega_1^2}{\alpha_2 r_0^{\Delta + \phi_1}}, \frac{\omega_2^2}{\gamma_2 R^2 r_0^{\Delta + \phi_2}}\right)$$
(21)

where $r_0 = 1 - 4B_0$ and F is a scaling function. Substituting (17) and (21) into (20) and expanding the exponent, we have [8]

$$\frac{1}{2}F^{2} + r_{0}^{1-\beta}F - \frac{m\omega_{1}^{2}}{2r_{0}^{2\beta}}W^{2} - \frac{3m\omega_{2}^{2}}{4r_{0}^{2\beta}}R^{2}W_{\theta}^{2} - \frac{R^{2}}{r_{0}^{\beta}}W_{\theta}^{2}D^{2}F - \frac{1}{\alpha_{2}r_{0}^{\beta}}D^{2}F - \frac{1}{\gamma_{2}r_{0}^{\beta}}D_{3}^{2}F = 0$$
(22)

where we have omitted terms which do not contribute to (22) in the scaling region. Note that when R = 0, we have

$$\frac{1}{2}F^{2} + r_{0}^{1-\beta}F - \frac{m\omega_{1}^{2}}{2r_{0}^{2\beta}}W^{2} - \frac{1}{\alpha_{2}r_{0}^{\beta}}D^{2}F = 0$$
(23)

which is the same form as in (4.18) of [8] with $\beta = 1$. We have [8] $\phi_1 = 1$ and $\Delta = 2$ for (23) which is the result of RRN. When R is not zero, we still have $\Delta = 2$ and $\beta = 1$ from (22). But we have $\phi_1 = 2$ and $\phi_2 = 1$ (a crossover). It is the term $R^2 W_{\theta}^2 r_0^{-1} D^2 F$ in (22) which is responsible for the crossover.

We now consider the two-point correlation function or elastic susceptibility

$$\chi_{K_{x},K_{y}}(\mathbf{x},\mathbf{x}') = \left[\Psi_{K_{x},K_{y}}(\mathbf{x})\Psi_{-K_{x},-K_{y}}(\mathbf{x}')\right]_{av}$$
$$= \left[\exp\left(-\frac{K^{2}}{2}\langle Q_{1}|G|Q_{1}\rangle\right)\right]_{av}$$
$$\approx \chi_{p}(\mathbf{x},\mathbf{x}')\left(1-\frac{K^{2}}{2\alpha_{1}}L^{\phi_{1}/\nu}\right)$$
(24)

where $\Psi_{K_x,K_y}(\mathbf{x}) = \exp(iK_x u_x(\mathbf{x}) + iK_y u_y(\mathbf{x}))$, []_{av} denotes the random average, Q_1 is the generalised displacement for compression and G is the Green function of the system. Hence $\langle Q_1 | G | Q_1 \rangle^{-1}$ is a two-point effective elastic constant if we let the vector (K_x, K_y) be parallel to $\mathbf{x} - \mathbf{x}'$. Here $\chi_p(\mathbf{x}, \mathbf{x}')$ is the susceptibility for percolation. Comparing (24) with (21), we identify ϕ_1 as the crossover exponent which describes the way $\langle Q_1 | G | Q_1 \rangle$ scales with the distance. Similarly we define the splay elastic susceptibility $\chi_p(\mathbf{x}, \mathbf{x}')$ as:

$$\chi_{p}(\mathbf{x}, \mathbf{x}') = [\exp(iP\theta_{x}) \exp(-iP\theta_{x'})]_{av}$$
$$= \left[\exp\left(-\frac{P^{2}}{2} \langle Q_{2} | G | Q_{2} \rangle\right) \right]_{av}$$
$$\approx \chi_{p}(\mathbf{x}, \mathbf{x}') \left(1 - \frac{P^{2}}{2\gamma_{1}} L^{\phi_{2}/\nu}\right)$$
(25)

where Q_2 is the generalised displacement where the disc at x is rotated by an angle θ and the disc at x' is rotated by an angle $-\theta$ and $\langle Q_2 | G | Q_2 \rangle^{-1}$ is the corresponding effective elastic constant. We identify ϕ_2 as the exponent which describes the way $\langle Q_2 | G | Q_2 \rangle$ scales with distance. If opposite sides (of length L) of a square are displaced by u and -u respectively, then the energy $E \sim 2Ku^2$. Using the node-link picture [10] the energy is that of $(L/\xi)^2$ links, each of length ξ at whose ends discs suffer a relative displacement $\sim au\xi/L$; thus we obtain

$$E \sim (L/\xi)^2 (au\xi/L)^2 k \xi^{-\phi_1/\nu}.$$
(26)

So $K \sim k \xi^{-\phi_1/\nu}$, or $f = \phi_1$ in two dimensions. Generally in d dimensions, we then have

$$f = (d-2)\nu + \phi_1.$$

This equation gives the mean-field value $f_{MF} = 4$ which is consistent with the scaling theory [6]. It is not clear how to relate ϕ_1 to ϕ_2 . From (21), dimensional analysis seems to suggest that $\phi_1 = \phi_2 + 2\nu$, or $f = d\nu + \phi_2$. This equation is reminiscence of the conjectured relation [2, 11-14] $f = d\nu + \phi_{re}$ where ϕ_{re} is the crossover exponent for RRN. For the bond-bending model [2, 6], we have proved [15] that $\phi_2 = \phi_{re}$ which supports our analysis. Although we cannot prove $f = d\nu + \phi_{re}$, our result favours this conjectured relation.

In summary, we have constructed a Stephen-type mean-field theory. We have introduced two crossover exponents ϕ_1 and ϕ_2 and obtained their mean-field value. Finally, we have discussed the relation between ϕ_1 , ϕ_2 and the bulk modulus exponent f.

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